CASE 10106

#### CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 202319

Debra A. Wolcik Type or print name

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

CARBONI ET AL.

APPLICATION NO: 10/814,199

FILED: MARCH 31, 2004

FOR: SYNERGISTIC METHODS AND COMPOSITIONS FOR TREATING.

CANCER

Mail Stop Box Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants believe this paper is being filed before the mailing date of a first Office Action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-3880.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

Some of the listed references are of record in parent Application No. 10047 NP (Serial No. 10/677,067) filed October 1, 2003, and in parent Application No. LD0318 NP (Serial No. 10/676,214) filed October 1, 2003, and copies are available therein. However, applicants are willing to send copies of any or all of these references at the Examiner's request.

Also, copies of the other cited references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Attorney for Applicants

Reg. No. 44,121

Bristol-Myers Squibb Company Patent Department P.O. Box 4000 Princeton, NJ 08543-4000 (609) 252-3453

Date: Jun 3,2004

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FORM PTO-1449 (REV. 7-85)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION it necessary)

ATTY. DOCKET NO. 10106A CIP APPLICATION NO. 10/814,199 APPLICANT CARBONI ET AL. FILING DATE MARCH 31, 2004

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
/ZV/	AA	6,337,338	1/8/02	Kozlowski et al.			
	AB						
	AC						
	AD						
	ΑE						
	AF						
	AG					i	

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		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRAN YES	SLATION NO
/ZV/	AH	WO 03/048133	6/12/03	PCT				
	AI	WO 01/25220	4/12/01	PCT				
	AJ	WO 00/35455	6/22/00	PCT				
	AK	WO 02/102804	12/27/02	PCT				
V	AL	WO 02/092599	11/21/02	PCT				

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

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/ZV/	АМ	Lu, Y., et al., "Insulin-like Growth Factor-I Receptor Signaling and Resistance to Trastuzumab (Herceptin)", Journal of the National Cancer Institute, Vol. 93, No. 24, pp. 1852-1857 (2001)
/ZV/	AN	Normanno, N., et al., "Cooperative inhibitory effect of ZD1839 (Iressa) in combination with trastuzumab (Herceptin) on human breast cancer cell growth", Annals of Oncology 13, pp. 65-72 (2002)
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/ZV/	AR	Camirand, A., et al., "Co-targeting HER2/Erb82 and insulin-like growth factor-1 receptors causes synergistic inhibition of growth in HER2-overexpressing breast cancer cells", Med. Sci. Monit., Vol. 8, No. 12, pp. BR521-BR526 (2002)

EXAMINER /Zohreh Vakili/ (07/09/2007) /Zohreh Vakili/ (07/09/2007) Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

DATE CONSIDERED

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ATTY. DOCKET NO. 10106A CIP APPLICATION NO. 10/814,199 APPLICANT CARBONI ET AL.
FILING DATE MARCH 31, 2004

		U.	S. PATENT	APPLICATION DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CL	ASS SUE	CLASS	FILING DATE
/ZV/	AS	U.S. Patent Appln. No. 10/676,214 (BMS Docke No. LD0318NP)	Filed 10/1/03	Carboni, et al.			-	
/ZV/	AT	U.S. Patent Appln. No. 10/674,098 (BMS Docker No. LD0314NP)	Filed 9/29/03	Velaparthi, et al.				
/ZV/	AU	U.S. Patent Appln. No. 10/263,448 (BMS Docke No. LD0227A CIP)	Filed 10/2/02	Wittman, et al.				-
/ZV/	AV	U.S. Patent Appln. No. 10/751,798 (BMS Docke No. LD0313 NP)	Filed 1/5/04	Beaulieu, et al.				
/ZV/	AW	U.S. Patent Application No. 10/677,067 (BMS Docket No. 10047NP)	Filed 10/1/03	Carboni et al.				
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			FOREIG	N PATENT DOCUMENTS				
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/ZV/	AY	WO 03/024967	3/27/03	PCT				
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V	вс	WO 02/053596	7/11/02	PCT				
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Sheet 3 of 4

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	BL						
	ВМ				1		

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		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRAN YES	NO NO
/ZV/	BN	WO 02/053596	7/11/02	PCT				
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/ZV/	вт	Lu, Y., et al., "Insulin-Like Growth Factor-I Receptor Signaling and Resistance to Trastuzumab (Herceptin)", Journal of the National Cancer Institute, Vol. 92, No. 24, pp. 1852-1857 (2001)
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DATE CONSIDERED

/Zohreh Vakili/ (07/09/2007)

FORM PTO-1449 (REV. 7-85)

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	CE								$\perp$	
	CF									
			FOREIG	N P	ATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE		OFFICE	CLASS	SUB	CLASS	YES	NSLATION NO
/ZV/	CG	EP 0 385 850 A2	2/27/90	EF		CLASS	SUB	CLASS	₩ FES	NSLATION NO
/ZV/	CG CH			EF		CLASS	SUB	CLASS	₩ □	NSLATION NO
/ZV/				EF		CLASS	SUB	CLASS	TRAI YES	NSLATION NO
/ZV/	СН			EF		CLASS	SUB	CLASS	IRA YES	
/ZV/	CH			EF		CLASS	SUB	CLASS	TRAI YES	
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